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5/23/04

PATENT  
091-0197

Start (3050)  
5/27/04

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Richard Y. Chiang : Confirmation No.: 3481

Serial No. 10/719,628 : Group Art Unit: 3661

Filed: November 21, 2003 : Examiner: None

For: Phase Recovery Filtering Techniques  
for SCP Throughput Shortage

RESPONSE TO REQUEST FOR NASA STATEMENT

MS Licensing and Review  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA

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Sir:

In response to the request for NASA statement, dated as mailed on April 19, 2004, and having a period of response extending through and including June 3, 2004, please make the below-identified amendments and consider the following remarks:

REMARKS

Attached is a statement of the inventor(s) in response to the request for a statement which establishes that the invention was not made or conceived under any contract or arrangement with NASA.

Respectfully submitted,

By: David Bowls  
David Bowls, Reg. No. 39,915  
Michael A. Shimokaji, Reg. No. 32,303

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I hereby certify that this correspondence is being deposited with  
the United States Postal Service with sufficient postage as first  
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Alexandria, VA 22313-1450

on May 18, 2004

David Bowls  
David Bowls, Reg. No. 39,915  
Michael A. Shimokaji, Reg. No. 32,303

STATEMENT OF INVENTOR(S)

I (We) Richard Y. Chiang  
citizens of USA  
residing at Torrance, California  
declare:

That I (we) made and conceived the invention described and claimed in patent application:

Serial Number 10/719,628 filed in the United States of America  
on 11/21/2003 titled Phase Recovery Filtering Techniques for SCP  
Throughput Shortage

(Check and complete either I or II below)

☒ I. (For Inventors Employed by an Organization) That I (we) made and conceived this invention while employed by THE BOEING COMPANY. That the invention is related to the work I am (we are) employed to perform and was made within the scope of my (our) employment duties; That the invention was made during working hours and with the use of facilities, equipment, materials, funds, information and services of THE BOEING COMPANY. Other relevant facts are N/A.

That to the best of my (our) knowledge and believe (and/or) based upon information provided by N/A of N/A:

—OR—

☐ II. (For Self-Employed Inventors) That I (we) made and conceived this invention on my (our) own time using only my (our) own facilities, equipment, materials, funds, information and services. Other relevant facts are \_\_\_\_\_

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OK

*(Check III and/or IV below as appropriate)*

That to the best of my (our) knowledge and belief:

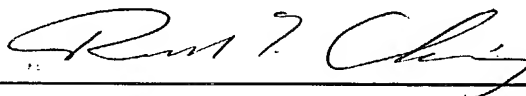
☐ III. The invention was not made or conceived in the course of, or in connection with, or under the terms of any contract, subcontract or arrangement entered into with or for the benefit of the United States Atomic Energy Commission or its successors: Energy Research and Development Administration or the Department of Energy.

—AND/OR—

☒ IV. The invention was not made (conceived or first actually reduced to practice) under nor is there any relationship of the invention to the performance of any work under any contract of the National Aeronautics and Space Administration.

The undersigned inventor(s) declare further that all statements made herein of his or her (their) own knowledge are true and that all statements made on information and believe and believed to be true and further that these statements are made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Inventor's Signature: \_\_\_\_\_



Post Office Address: \_\_\_\_\_ 21006 Wendy Drive, Torrance, CA 90503

Date: \_\_\_\_\_

5/12/04